

MRP
10/11/02
NOT ON
PR LIST

Patent Docket P3330R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Baker et al. Serial No.: 10/028,072 Filed: December 19, 2001 For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	Group Art Unit: 1646 Examiner: Not yet assigned
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**TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA
UNDER 42 U.S.C. §§ 2182 and 2457**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT - 8 2002
LICENSING & REVIEW

Sir:

Applicants submit herewith a fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent application as shown in Appendix A which is appended to the fully executed property rights statement submitted herewith.

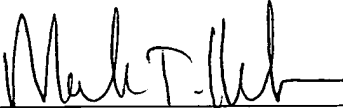
Serial No.: 10/028,072

Filed: December 19, 2001

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By: 

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone: (650) 225-4461



09157

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 1646
Baker et al.	Examiner: Not yet assigned
Serial No.: 10/028,072	
Filed: December 19, 2001	
For: <i>Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same</i>	

**PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. §§ 2182 and 2457) -
INVENTORS EMPLOYED BY AN ORGANIZATION**

Assistant Commissioner of Patents
ATTN: Licensing and Review
Washington, D.C. 20231

RECEIVED
OCT - 8 2002
LICENSING & REVIEW

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

1. We made and conceived (a) the invention described and claimed in the above captioned U.S. patent application Serial No. 10/028,072 filed in the United States of America on December 19, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");

2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

Serial No.: 10/028,072

Filed: December 19, 2001

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Kevin P. Baker

14006 Indian Run Drive, Darnestown, MD 20878

Citizenship: GB

Inventor's signature: _____

Date: _____

9/9/02

Full name of second joint inventor

Maureen Beresini

611 Stetson Street, Moss Beach, CA 94038

Citizenship:

Inventor's signature: _____

Date: _____

Serial No.: 10/028,072
Filed: December 19, 2001

3. These invention(s) are related to the work we are employed to perform (or were employed to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment duties; that these inventions were made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first inventor

Kevin P. Baker

14006 Indian Run Drive, Darnestown, MD 20878

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Full name of eighth joint inventor

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Serial No.: 10/028,072
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Full name of third joint inventor

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Date: 09/04/2002

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Date: _____

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Full name of third joint inventor

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Date: Sept 5/02

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Serial No.: 10/028,072
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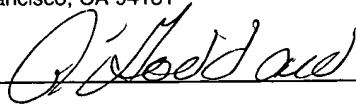
Date: _____

Full name of seventh joint inventor

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Date: _____

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Serial No.: 10/028,072
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Date: _____

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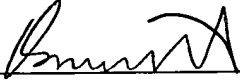
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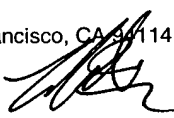
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Date: Sept 4 2002

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Serial No.: 10/028,072
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Date: _____

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Serial No.: 10/028,072
Filed: December 19, 2001

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Full name of sixteenth joint inventor

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Full name of seventeenth joint inventor

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Serial No.: 10/028,072

Filed: December 19, 2001

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Full name of fifteenth joint inventor

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Date: 9/12/02

APPENDIX A

Application No.	Application Date	File No
10/121059	11Apr2002	P3330R1C10
10/127842	22Apr2002	P3330R1C100
10/127828	22Apr2002	P3330R1C101
10/127835	22Apr2002	P3330R1C102
10/127849	22Apr2002	P3330R1C103
10/127844	22Apr2002	P3330R1C104
10/127839	22Apr2002	P3330R1C105
10/127848	22Apr2002	P3330R1C106
10/127831	22Apr2002	P3330R1C107
10/127841	22Apr2002	P3330R1C108
10/127832	22Apr2002	P3330R1C109
10/121062	12Apr2002	P3330R1C11
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10/127834	22Apr2002	P3330R1C113
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10/131813	24Apr2002	P3330R1C139
10/121054	12Apr2002	P3330R1C14
10/131828	24Apr2002	P3330R1C140
10/131818	24Apr2002	P3330R1C141
10/131833	24Apr2002	P3330R1C142

APPENDIX A

Application No.	Application Date	File No
10/131823	24Apr2002	P3330R1C143
10/131820	24Apr2002	P3330R1C144
10/131815	24Apr2002	P3330R1C145
10/137867	03May2002	P3330R1C146
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10/140922	07May2002	P3330R1C179
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10/140927	07May2002	P3330R1C180
10/140806	07May2002	P3330R1C181
10/140808	07May2002	P3330R1C182
10/140862	07May2002	P3330R1C183
10/140864	07May2002	P3330R1C184
10/140810	07May2002	P3330R1C185
10/140928	07May2002	P3330R1C186

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Application No.	Application Date	File No
10/140926	07May2002	P3330R1C187
10/140923	07May2002	P3330R1C188
10/140860	07May2002	P3330R1C189
10/121063	12Apr2002	P3330R1C19
10/140865	07May2002	P3330R1C190
10/141762	08May2002	P3330R1C191
10/141755	08May2002	P3330R1C192
10/141753	08May2002	P3330R1C193
10/141758	08May2002	P3330R1C194
10/141757	08May2002	P3330R1C195
10/141754	08May2002	P3330R1C196
10/141759	08May2002	P3330R1C197
10/141761	08May2002	P3330R1C198
10/141760	08May2002	P3330R1C199
10/121046	11Apr2002	P3330R1C2
10/121050	12Apr2002	P3330R1C20
10/141756	08May2002	P3330R1C200
10/141705	08May2002	P3330R1C201
10/141697	08May2002	P3330R1C202
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10/141698	08May2002	P3330R1C206
10/141701	08May2002	P3330R1C207
10/141702	08May2002	P3330R1C208
10/141704	08May2002	P3330R1C209
10/121060	12Apr2002	P3330R1C21
10/141703	08May2002	P3330R1C210
10/143114	09May2002	P3330R1C211
10/142887	09May2002	P3330R1C212
10/142762	09May2002	P3330R1C213
10/142428	09May2002	P3330R1C214
10/142432	09May2002	P3330R1C215
10/143116	09May2002	P3330R1C216
10/143113	09May2002	P3330R1C217
10/142421	09May2002	P3330R1C218
10/143035	09May2002	P3330R1C219
10/121052	12Apr2002	P3330R1C22
10/142424	10May2002	P3330R1C220
10/143117	09May2002	P3330R1C221
10/142430	09May2002	P3330R1C222
10/142761	09May2002	P3330R1C223
10/142426	09May2002	P3330R1C224
10/143027	09May2002	P3330R1C225
10/142422	09May2002	P3330R1C226
10/142888	09May2002	P3330R1C227
10/143118	09May2002	P3330R1C228
10/142425	09May2002	P3330R1C229

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Application No.	Application Date	File No
10/121053	12Apr2002	P3330R1C23
10/143115	09May2002	P3330R1C230
10/142427	09May2002	P3330R1C231
10/142417	09May2002	P3330R1C232
10/143034	09May2002	P3330R1C233
10/142889	09May2002	P3330R1C234
10/142420	09May2002	P3330R1C235
10/142886	10May2002	P3330R1C236
10/142765	10May2002	P3330R1C237
10/142429	10May2002	P3330R1C238
10/142760	10May2002	P3330R1C239
10/121061	12Apr2002	P3330R1C24
10/142884	10May2002	P3330R1C240
10/142767	10May2002	P3330R1C241
10/142766	10May2002	P3330R1C242
10/142763	10May2002	P3330R1C243
10/142419	10May2002	P3330R1C244
10/143032	10May2002	P3330R1C245
10/143033	10May2002	P3330R1C246
10/142418	10May2002	P3330R1C247
10/142885	10May2002	P3330R1C248
10/142423	10May2002	P3330R1C249
10/121056	12Apr2002	P3330R1C25
10/142764	10May2002	P3330R1C250
10/142431	10May2002	P3330R1C251
10/145127	13May2002	P3330R1C252
10/145015	13May2002	P3330R1C253
10/144958	13May2002	P3330R1C254
10/144957	13May2002	P3330R1C255
10/144956	13May2002	P3330R1C256
10/144994	13May2002	P3330R1C257
10/145091	13May2002	P3330R1C258
10/144992	13May2002	P3330R1C259
10/123771	15Apr2002	P3330R1C26
10/145090	13May2002	P3330R1C260
10/144993	13May2002	P3330R1C261
10/145823	14May2002	P3330R1C262
10/145878	14May2002	P3330R1C263
10/145629	14May2002	P3330R1C264
10/145827	14May2002	P3330R1C265
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10/145962	14May2002	P3330R1C268
10/145746	14May2002	P3330R1C269
10/123235	15Apr2002	P3330R1C27
10/145755	14May2002	P3330R1C270
10/145628	14May2002	P3330R1C271
10/145630	14May2002	P3330R1C272

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Application No.	Application Date	File No
10/145631	14May2002	P3330R1C273
10/145870	14May2002	P3330R1C274
10/145750	14May2002	P3330R1C275
10/145820	14May2002	P3330R1C276
10/145626	14May2002	P3330R1C277
10/145958	14May2002	P3330R1C278
10/145632	14May2002	P3330R1C279
10/123291	15Apr2002	P3330R1C28
10/145824	14May2002	P3330R1C280
10/145959	14May2002	P3330R1C281
10/145874	14May2002	P3330R1C282
10/145748	14May2002	P3330R1C283
10/145826	14May2002	P3330R1C284
10/145634	14May2002	P3330R1C285
10/145821	14May2002	P3330R1C286
10/145754	14May2002	P3330R1C287
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10/145871	14May2002	P3330R1C289
10/123157	15Apr2002	P3330R1C29
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10/146727	15May2002	P3330R1C312
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10/146725	15May2002	P3330R1C315

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Application No.	Application Date	File No
10/146787	15May2002	P3330R1C316
10/146724	15May2002	P3330R1C317
10/146729	15May2002	P3330R1C318
10/146730	15May2002	P3330R1C319
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10/147503	16May2002	P3330R1C335
10/147524	16May2002	P3330R1C336
10/147517	16May2002	P3330R1C337
10/147497	16May2002	P3330R1C338
10/147520	16May2002	P3330R1C339
10/123109	15Apr2002	P3330R1C34
10/147505	17May2002	P3330R1C340
10/147487	17May2002	P3330R1C341
10/147515	17May2002	P3330R1C342
10/147526	17May2002	P3330R1C343
10/147506	17May2002	P3330R1C344
10/147493	17May2002	P3330R1C345
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10/147499	17May2002	P3330R1C348
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10/147488	17May2002	P3330R1C350
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10/147491	17May2002	P3330R1C354
10/147531	17May2002	P3330R1C355
10/147511	17May2002	P3330R1C356
10/147496	17May2002	P3330R1C357
10/147516	17May2002	P3330R1C358
10/147522	17May2002	P3330R1C359

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Application No.	Application Date	File No
10/123108	15Apr2002	P3330R1C36
10/147481	17May2002	P3330R1C360
10/147537	17May2002	P3330R1C361
10/147483	17May2002	P3330R1C362
10/147507	17May2002	P3330R1C363
10/147482	17May2002	P3330R1C364
10/147486	17May2002	P3330R1C365
10/147514	17May2002	P3330R1C366
10/147498	17May2002	P3330R1C367
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10/123322	15Apr2002	P3330R1C37
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10/147495	17May2002	P3330R1C371
10/147504	17May2002	P3330R1C372
10/147501	17May2002	P3330R1C373
10/147494	17May2002	P3330R1C374
10/147513	17May2002	P3330R1C375
10/147489	17May2002	P3330R1C376
10/152373	20May2002	P3330R1C377
10/152531	20May2002	P3330R1C378
10/152393	20May2002	P3330R1C379
10/123262	15Apr2002	P3330R1C38
10/152397	20May2002	P3330R1C380
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10/152396	20May2002	P3330R1C382
10/152405	20May2002	P3330R1C383
10/152400	20May2002	P3330R1C384
10/152401	20May2002	P3330R1C385
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10/152391	21May2002	P3330R1C389
10/123154	15Apr2002	P3330R1C39
10/152379	21May2002	P3330R1C390
10/152392	21May2002	P3330R1C391
10/152389	21May2002	P3330R1C392
10/152387	21May2002	P3330R1C393
10/152372	21May2002	P3330R1C394
10/152384	21May2002	P3330R1C395
10/152385	21May2002	P3330R1C396
10/152380	21May2002	P3330R1C397
10/152394	21May2002	P3330R1C398
10/152382	21May2002	P3330R1C399
10/121047	11Apr2002	P3330R1C4
10/123214	15Apr2002	P3330R1C40
10/152386	21May2002	P3330R1C400
10/152376	21May2002	P3330R1C401

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Application No.	Application Date	File No
10/152403	21May2002	P3330R1C402
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10/152371	21May2002	P3330R1C406
10/152370	21May2002	P3330R1C407
10/152383	21May2002	P3330R1C408
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10/157786	29May2002	P3330R1C445

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Application No.	Application Date	File No
10/160503	30May2002	P3330R1C446
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10/158790	30May2002	P3330R1C448
10/158787	30May2002	P3330R1C449
10/123910	16Apr2002	P3330R1C45
10/158791	30May2002	P3330R1C450
10/160498	30May2002	P3330R1C451
10/158784	30May2002	P3330R1C452
10/160500	30May2002	P3330R1C453
10/160504	30May2002	P3330R1C454
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10/158785	30May2002	P3330R1C459
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10/158792	30May2002	P3330R1C460
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10/123905	16Apr2002	P3330R1C48
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10/123907	16Apr2002	P3330R1C50
10/123903	16Apr2002	P3330R1C51
10/123911	16Apr2002	P3330R1C52
10/123906	16Apr2002	P3330R1C53
10/123904	16Apr2002	P3330R1C54
10/123912	16Apr2002	P3330R1C55
10/124817	17Apr2002	P3330R1C56
10/124815	17Apr2002	P3330R1C57
10/125704	17Apr2002	P3330R1C58
10/125805	17Apr2002	P3330R1C59
10/121051	11Apr2002	P3330R1C6
10/124823	17Apr2002	P3330R1C60
10/124821	17Apr2002	P3330R1C61
10/124818	17Apr2002	P3330R1C62
10/124814	17Apr2002	P3330R1C63
10/124822	17Apr2002	P3330R1C64
10/124819	17Apr2002	P3330R1C65
10/124816	17Apr2002	P3330R1C66
10/124813	17Apr2002	P3330R1C67
10/124824	17Apr2002	P3330R1C68
10/124820	17Apr2002	P3330R1C69
10/121040	11Apr2002	P3330R1C7
10/125795	17Apr2002	P3330R1C70
10/125931	19Apr2002	P3330R1C71
10/125932	19Apr2002	P3330R1C72
10/125922	19Apr2002	P3330R1C73
10/125927	19Apr2002	P3330R1C74

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Application No.	Application Date	File No
10/125924	19Apr2002	P3330R1C75
10/125921	19Apr2002	P3330R1C76
10/125928	19Apr2002	P3330R1C77
10/125930	19Apr2002	P3330R1C78
10/125923	19Apr2002	P3330R1C79
10/121045	11Apr2002	P3330R1C8
10/125926	19Apr2002	P3330R1C80
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10/127824	22Apr2002	P3330R1C82
10/127827	22Apr2002	P3330R1C83
10/127825	22Apr2002	P3330R1C84
10/127829	22Apr2002	P3330R1C85
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10/127852	22Apr2002	P3330R1C88
10/127823	22Apr2002	P3330R1C89
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10/127836	22Apr2002	P3330R1C97
10/127838	22Apr2002	P3330R1C98
10/127843	22Apr2002	P3330R1C99

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